

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ppl. No.

: 10/510,641

Ápplicant

: Khim Hong NG et al.

Filed/371 date

: October 8, 2004 [March 4, 2005]

TC/A.U.

: 2812

Examiner

: Alexander G. Ghyka

Docket No.

: 3225-103

Customer No.

: 06449

Confirmation No.

: 2900

JII NO. . 25

#### RENEWED REQUEST FOR CORRECTED FILING RECEIPT

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, Virginia 22313-1450

#### Dear Sir:

A Request for Corrected Filing Receipt for the above-identified application was filed in the Patent and Trademark Office on April 6, 2005 (a copy of the originally filed papers and the receipt card are attached hereto). No Corrected Filing Receipt has been received to date.

Please expedite correction of the Filing Receipt and forward the corrected Filing Receipt to the undersigned for our records.

Respectfully submitted,

Ву

Brian S. Rosenbloom Attorney for Applicants Registration No. 41,276

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

Suite 800, 1425 K Street, N.W.

Washington, D.C. 20005 Telephone: (202)783-6040 Rothwell, Figg, Ernst & Manbeck , 1425 K St NW, Ste 800, Wash, DC 20005(202-783-6040)

#### PAT-PTO RECEIPT

TO BE DATE-STAMPED BY THE U.S. PATENT AND TRADEMARK OFFICE AS ACKNOWLEDGMENT OF RECEIPT BY IT OF THE FOLLOWING:

Request to correct Filing Receipt and Notice of Acceptance, marked copies of filing receipt and notice of acceptance, copies of initial PTO 1390 and post card receipt copies of second PTO-

APR 0 6 2005

1390 and post card receipt

| FEE(S) PAID:  | CHECK   | DEP ACCT 02-2135        |
|---|---|-------------------------|
| ATTORNEY DOCKET NO.: 3225-103 FIRST-NAMED INVENTOR: NG et al. ATTORNEY/ASSISTANT: GFR:vjm | IN REGARD TO  SERIAL NO.: 10/510641  FILING DATE: March 4, 2005  DUE DATE: ASAP | PATENT NO.: ISSUE DATE: |

Date-Stamp Here

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/510,641

Applicant

: Khim Hong NG et al.

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: 2900

## REQUEST FOR CORRECTED FILING RECEIPT

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Please issue a corrected filing receipt and Notice of Acceptance for the above-referenced application. The Official Filing Receipt and Notice of Acceptance has the 371 date listed as October 8, 2004, however the declaration for this application was not filed until the second submission dated March 4, 2005. Therefore the 371/Date of Acceptance should be March 4, 2005 when all requirements under U.S.C. 371 (c)(1), (2) and (4) were met.

Copies of the previously filed transmittals (PTO-1390), and post card receipts of each submission are enclosed. Also enclosed are copies of the filing receipt and notice of acceptance documents with the corrections to be made marked in ink for your reference.

Respectfully submitted,

D.

G. Franklin Rothwell

Attorney for Applicants
Registration No. 18,125

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

Suite 800, 1425 K Street, N.W.

Washington, D.C. 20005 Telephone: (202)783-6040



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Alexandra, Virginia 22313-1450 www.umplo.gov

FILING OR 371 APPL NO. **ART UNIT** FIL FEE REC'D ATTY.DOCKET NO IND CLMS (c) DATE DRAWINGS TOT CLMS 10/510,641 <del>-10/08/2004</del>-2812 1206 3225-103 5 25 03/04/2005

**CONFIRMATION NO. 2900** 

**FILING RECEIPT** 

OC000000015256193

6449 ROTHWELL, FIGG, ERNST & MANBECK, P.C. 1425 K STREET, N.W. SUITE 800 WASHINGTON, DC 20005

Date Mailed: 03/01/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Khim Hong Ng, Singapore, SINGAPORE; Yeow Keong Ng, Singapore, SINGAPORE; Kar Hwee Koh, Singapore, SINGAPORE;

**Assignment For Published Patent Application** 

Systems on Silicon Manufacturing Co. PTE. LTD., Singapore, SINGAPORE

Power of Attorney: The patent practitioners associated with Customer Number 6449.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/SG03/00011 01/20/2003

Foreign Applications

Projected Publication Date: 06/02/2005

Non-Publication Request: No

Early Publication Request: No

Title

Titanium underlayer for lines in semiconductor devices

**Preliminary Class** 

438

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PRIORITY DATE



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/510.641 Khim Hong Ng 3225-103

INTERNATIONAL APPLICATION NO.

PCT/SG03/00011

6449 ROTHWELL, FIGG, ERNST & MANBECK, P.C.

1425 K STREET, N.W. SUITE 800

WASHINGTON, DC 20005

I.A. FILING DATE 01/20/2003

**CONFIRMATION NO. 2900** 371 ACCEPTANCE LETTER

OC000000015256194\*

Date Mailed: 03/01/2005

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

03/04/2005 -10/08/2004

05/64/2005 <del>-10/08/2004--</del>

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 10/08/2004
- Copy of the International Search Report filed on 10/08/2004
- Copy of IPE Report filed on 10/08/2004
- Preliminary Amendments filed on 10/08/2004
- Information Disclosure Statements filed on 10/08/2004
- Oath or Declaration filed on 10/08/2004
- Request for Immediate Examination filed on 10/08/2004
- U.S. Basic National Fees filed on 10/08/2004
- Assignment filed on 10/08/2004
- Power of Attorney filed on 10/08/2004

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

LAMONT M HUNTER
Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

New 371 Application Receipt Card TO BE DATE-STAMPED BY THE U.S. PATENT AND TRADEMARK OFFICE AS ACKNOWLEDGMENT OF RECEIPT BY IT OF THE FOLLOWING: · Description Description Copy of Published Int'l application 24 X Form PTO-1390 Copy of PCT Request Inventor(s):Declaration Copy of PCT Demand 1 Information Disclosure Statement (IDS) Copy of International Prelim Examinate (PER) 1 PTO 1449 w/ 1 encl. Copy of PCT/IN/301
Copy of PCT/IN/304
Copy of PCT/IN/308
Copy of PCT/IN/332 Copy of International Search Report (ISR) 3 Assignment & Recordation Cover Sheet 2 Application Data Sheet OCT 11 8 2004 6 Preliminary Amendment Substitute Specification w/ marked and clean copy Application as Gled TRADEMIN 10.8-04 DEP ACCT 02-2135 X APPLICATION FILING/CLMS FEES PAID: \$1,000.00 \$1206 X CHECK DEP ACCT 02-2135 X ASSIGNMENT RECORDATION FEE PAID: \$40.00 X CHECK IN REGARD TO ATTORNEY/ASSISTANT: GFR:vjm ATTORNEY DOCKET NO.: 3225-103 FIRST-NAMED INVENTOR: NG et al. TITLE:TITANIUM UNDERLAYER FOR LINES IN SEMICONDUCTOR DEVICES :/ INTERNATIONAL APPLICATION NO.: PCT/SG2003/000011 FILED: January 20, 2003 Date-Stamp Here

10 4 13

|  | FORM PTO-1390 U.S. Department of Commerce Patent and Trademark Office  |  | Attorney's Docket No.                               |  |  |  |
|--|--|--|---|--|--|--|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)   |  |  | 3225-103  |  |  |  |
|  | CONCERNING A FILI  | U.S. Application No. (if known, see 37 CFR 1.5)  |   |  |  |  |
|  |  | New Application  |   |  |  |  |
|  | TIONAL APPLICATION NO.<br>2003/000011  | PRIORITY DATE CLAIMED  |   |  |  |  |
|  | INVENTION<br>UM UNDERLAYER FOR LIN   | ES IN SEMICONDUCTOR DEVICES  |   |  |  |  |
| APPLICAN<br>Khim Ho  | NT(S) FOR DO/EO/US<br>ong NG, Yeow Keong NG  | and Kar Hwee KOH   |   |  |  |  |
| Applicant  | herewith submits to the United Sta   | ates Designated/Elected Office (DO/EO/US) the  | following items and other information:              |  |  |  |
| 1. [X]   | This is a FIRST submission of  | items concerning a filing under 35 U.S.C. 371  |   |  |  |  |
| 2. [ ]   | This is a SECOND or SUBSEC   | QUENT submission of items concerning a filing  | under 35 U.S.C. 371.                                |  |  |  |
| 3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include item (5), (6), (9) and (21) indicated below.  |  |  |   |  |  |  |
| 4. [ ]   | The US has been elected (Artic   | le 31).  |   |  |  |  |
| <ul> <li>5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))</li> <li>a. [X] is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. [ ] has been communicated by the International Bureau.</li> <li>c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ul> |  |  |   |  |  |  |
| 6. [ ]   | <ul> <li>6. [ ] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li>a. [ ] is attached hereto.</li> <li>b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> |  |   |  |  |  |
|  |  |  |   |  |  |  |
| 7. [X]   | Amendments to the claims of the a. [ ] are attached hereto (r  | e International Application under PCT Article 1 equired only if not communicated by the International Properties of the Intern | 9 (35 U.S.C. 371(c)(3))<br>ational Bureau).         |  |  |  |
|  | b. [ ] have been communicated by the International Bureau.   |  |   |  |  |  |
| <ul> <li>c. [X] have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. [] have not been made and will not be made.</li> </ul>   |  |  |   |  |  |  |
| 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |  |   |  |  |  |
| 9. [ ] An oath or declaration of the inventor(s) (35 U.S.C371(c)(4)).  |  |  |   |  |  |  |
| 10. [ ]  | 10. [ ] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).   |  |   |  |  |  |
| ITEMS 11. TO 20. below concern other document(s) or information included:  |  |  |   |  |  |  |
| 12. [X] 13. [X] 14. [X] 15. [ ] 16. [ ] 17. [ ] 18. [ ] 19. [ ]  | An assignment document for rec<br>A preliminary amendment.<br>An Application Data Sheet unde<br>A substitute specification.<br>A power of attorney and/or addr<br>A computer-readable form of the<br>A second copy of the published      |  | 13 <i>ter.</i> 2 and 35 U.S.C. 1.821-1.825<br>)(4). |  |  |  |
|  | Copy of Published  | I International Applicati  | on (24 ngs )  |  |  |  |

()

| U.S. APPLICATION NO. (If known,<br>New Application   | PLICATION NO. (If known, see 37 CFR 1.50)  Application  PCT/SG2003/000011 |  | ATTORNEY DOCKET NO3225-103               |                       |              |
|--|---|--|--|-----------------------|--------------|
| 21. [X] The following fees are submitted:  Basic National Fee (37 CFR 1.492)(a)(1)-(5):  Neither international preliminary examination fee (37 CFR 1.482)  nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and international search Report Not Prepared by EPO or JPO. \$1,080.00 international preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report has been prepared by the EPO or JPO. \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) Paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) Paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) Paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) Paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1.482) Paid to USPTO \$730.00 International preliminary examination fee (37 CFR 1. |   |  |  | CALCULATIONS          | PTO USE ONLY |
|  | EN  | TER APPROPRIATE BAS  | SIC FEE AMOUNT =                         | \$ 1,080.00           |              |
| Surcharge of \$130.00 for fur<br>months from the earliest clai   | nishing the oath or demed priority date (37                               | eclaration later than [ ] CFR 1.492(e)).   | 20 [ ] 30                                | \$                    |              |
| Claims .   | Number Filed  | Number Extra   | Rate                                     |                       |              |
| Total Claims   | 27 -20 =  | 7  | X \$18.00                                | \$ 126.00             |              |
| Independent Claims   | 2 - 3 =   | 0  | X \$86.00                                | \$ 0                  |              |
| Multiple dependent claim(s)  | (if applicable)   |  | + \$290.00                               | <u>s</u>              |              |
|  | · · · · · · · · · · · · · · · · · · ·                                     | TOTAL OF ABOVE CA  | ALCULATIONS =                            | \$ 1206.00            |              |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |   |  | \$                                       |                       |              |
| SUBTOTAL =   |   |  |  | \$                    |              |
| Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |   |  |  | \$                    |              |
| TOTAL NATIONAL FEE =   |   |  | \$40.00                                  | ·                     |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |   |  | \$                                       |                       |              |
| TOTAL FEES ENCLOSED =  |   |  | \$ 1246.00                               |                       |              |
|  |   |  |  | Amount to be refunded | \$           |
|  |   |  |  | charged               | \$           |
| <ul> <li>a. X A check in the amount of \$\frac{1206.00 \text{ and } 40.00}{1206.00 \text{ and } 40.00}\$ to cover the above fees is enclosed.</li> <li>b. Please charge my Deposit Account No. 02-2135 in the amount of \$\frac{1}{2}\$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> </ul>  |   |  |  |                       |              |
| to Deposit Acco  | ount No. 02-2135. A<br>iate time limit under                              | ed to charge any addition<br>duplicate copy of this she<br>37 CFR 1.494 or 1.495 | et is enclosed.<br>has not been met, a n |                       |              |
| or (b)) must be filed and gra  | inted to restore the a  | application to pending st  | atus.                                    | Œ                     |              |
| SEND ALL CORRESPONDENCE TO:  |   |  | tomeree                                  |                       |              |
| Customer No. 6449  | Customer No. 6449   |  |  |                       |              |
| G. Franklin Rothwell Rothwell, Figg, Ernst & Manbeck Name Name   |   |  |  | eli                   |              |
| 1425 K St., N.W.       Washington, D.C. 20005       Phone: 202/783-6040       18,125       Registration Number   |   |  |  | •                     |              |

| F   | <del></del>  |   | ·                                       |  |  |  |  |  |
|---|--|---|---|--|--|--|--|--|
| FORM P  | · ·  | Attorney's Docket No.   |   |  |  |  |  |  |
|   | TRANSMITTAL LETTER   | 3225-103  |   |  |  |  |  |  |
|   | DESIGNATED/ELECTI  | U.S. Application No. (if known, see 37 CFR 1.5)   |   |  |  |  |  |  |
|   | CONCERNING A FILIN   | ·   |   |  |  |  |  |  |
|   |  |   | 10/510,641                              |  |  |  |  |  |
| PCT/  | NATIONAL APPLICATION NO.<br>SG03/000011  | INTERNATIONAL FILING DATE January 20, 2003  | PRIORITY DATE CLAIMED                   |  |  |  |  |  |
| TITLE   | TITLE OF INVENTION TITANIUM UNDERLAYER FOR LINES IN SEMICONDUCTOR DEVICES  |   |   |  |  |  |  |  |
| APPLI<br>Khim   | CANT(S) FOR DO/EO/US<br>Hong NG et al.   |   |   |  |  |  |  |  |
| Applica   | ant herewith submits to the United Stat  | es Designated/Elected Office (DO/EO/US) the   | following items and other information:  |  |  |  |  |  |
| 1.  |  | s concerning a filing under 35 U.S.C. 371   |   |  |  |  |  |  |
| 2. 🛛  |  | NT submission of items concerning a filing und  | :                                       |  |  |  |  |  |
| 3.  | This is an express request to begin n (5), (6), (9) and (21) indicated be  | ational examination procedures (35 U.S.C. 371 llow.   | (f)). The submission must include items |  |  |  |  |  |
| 4. LJ   | The US has been elected (Article 3)  | 1).   |   |  |  |  |  |  |
| 5. 🎞  | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  a. is attached hereto (required only if not communicated by the International Bureau).  b. has been communicated by the International Bureau.  c. is not required, as the application was filed in the United States Receiving Office (RO/US)  |   |   |  |  |  |  |  |
| 6. 🗌  |  |   |   |  |  |  |  |  |
| 7.  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a. \( \) are attached hereto (required only if not communicated by the International Bureau).  b. \( \) have been communicated by the International Bureau.  c. \( \) have not been made; however, the time limit for making such amendments has NOT expired.  d. \( \) have not been made and will not be made. |   |   |  |  |  |  |  |
| 8.  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  |   |   |  |  |  |  |  |
| 9. X  |  |   |   |  |  |  |  |  |
| 10.   | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).   |   |   |  |  |  |  |  |
| ITEMS 11. TO 20. below concern other document(s) or information included: |  |   |   |  |  |  |  |  |
| 11.   | An Information Disclosure Statement<br>An assignment document for recordin<br>A preliminary amendment.<br>A Supplemental Application Data She<br>A substitute specification.   | ag. A separate cover sheet in compliance with 3   | 7 CFR 3.28 and 3.31 is included.        |  |  |  |  |  |
| 16.   | A power of attorney and/or address le A computer-readable form of the sequ A second copy of the published interm A second copy of the English language Other items or information: [] RO/101 [] RO/105 [] ISA/210  | tence listing in accordance with PCT Rule 13ter<br>national application under 35 U.S.C. 154(d)(4).<br>the translation of the international application under<br>O(ISR) [] IB/301 [] IB/304 [] IB/308 [] IB/30 | der 35 U.S.C. 154(d)(4).                |  |  |  |  |  |
|   | [] IB/416 [] Published Application WO/   |   |   |  |  |  |  |  |

| 10/510,6   | U.S. APPLICATION NO. (If known, sec 37 CFR 1.50)  10/510, 641  The following formula in the foll |                       | ATTORNEY DOCKET NO. 3225-103   |           |                               |                       |   |
|--|--|-----------------------|--|-----------|-------------------------------|-----------------------|---|
| The following fees are submitted:  21. Basic National Fee\$300.00  |  |                       | \$   |           |                               |                       |   |
| 22. Examination Fee If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1) – (4)   |  |                       |  | \$        |                               |                       |   |
| 23. Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority   |  |                       |  |           | to the USPTO \$100.00         |                       |   |
|  |  |                       |  |           | 21,22 and 23=                 | \$                    |   |
| Additional sequence li \$250 for ea  | fee for special sting or compact additional  | 50 sheets of paper or | filed in paper over 100 sh<br>filed in an electronic medi<br>a fraction thereof. | ium,      | s (excluding<br>). The fee is | \$                    |   |
| Total Sheets   | Extra Shee   | Number of eac         | h additional 50 or fraction up to a whole number)                                | n         | RATE                          |                       |   |
| - 100=   | / 50   |                       |  |           | X 250.00                      |                       |   |
| carriest cian  | med priority   | date (37 CFR 1.492(e  | or declaration later than 3(   | 0 m       | onths from the                | \$130.00              |   |
| Claims   |  | Number Filed          | Number Extra   | R         | ate                           |                       |   |
| Total Claims   |  | -20 =                 |  | х         | \$50.00                       | \$                    |   |
| Independent C  |  | - 3 =                 |  | Х         | \$200.00                      | \$                    |   |
| Multiple depen   | dent claim(s)  | (if applicable)       |  | +         | \$360.00                      | \$                    |   |
| [] Applicant of  | nima amall   | 7                     | OTAL OF ABOVE CA   | LCI       | ULATIONS =                    | \$                    |   |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |  |                       |  | \$        |                               |                       |   |
|  | SUBTOTAL =   |                       |  |           | S                             |                       |   |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |  |                       |  |           |                               |                       |   |
| + +  |  |                       |  | \$        |                               |                       |   |
| Fee for recording  | g the enclose  | d assignment (37 CEE  | 2.1.21(L)) TI :  |           | ONAL FEE =                    | \$                    |   |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |  |                       |  | \$        |                               |                       |   |
| TOTAL FEES ENCLOSED =  |  |                       |  | NCLOSED = | \$130.00                      |                       |   |
|  |  |                       |  |           |                               | Amount to be refunded | s |
| a. X A check in the amount of \$ 120.00  |  |                       |  | charged   | \$                            |                       |   |
| a. A check in the amount of \$130.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 02-2135 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed. |  |                       |  |           |                               |                       |   |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not/been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  |  |                       |  |           |                               |                       |   |
| SEND ALL CORRESPONDENCE TO:  Customer No. 6449  Signature  Signature   |  |                       |  |           |                               |                       |   |
| Thomas E. McKiernan  Rothwell, Figg, Ernst & Manbeck  1425 K St., N.W.  Washington, D.C. 20005  Phone: 202/783-6040  Registration Number   |  |                       |  |           |                               |                       |   |

Rothwell, Figg, Ernst & Manheck, 1425 K St NW, Ste 800, Wash, DC 20005(202-783-6040)

#### PAT-PTO RECEIPT

TO BE DATE-STAMPED BY THE U.S. PATENT AND TRADEMARK OFFICE AS ACKNOWLEDGMENT OF RECEIPT BY IT OF THE FOLLOWING:

# PTO-1390, Declaration (4 pages), Supplemental Application Data Sheet (2 pages)

| X FEE(S) PAID: 130.00           | X CHECK V                    | DEP ACCT 02-2135 |
|---------------------------------|------------------------------|------------------|
|                                 | IN REGARD TO                 |                  |
| ATTORNEY DOCKET NO.: 3225-103   | SERIAL NO.: 10/510,641 🗸     | PATENT NO.:      |
| FIRST-NAMED INVENTOR: NG et al. | FILING DATE: October 8, 2004 | ISSUE DATE:      |
| ATTORNEY/ASSISTANT: GFR:vjm     | DUE DATE:                    |                  |
|                                 | MAS : 2005 S                 | Date-Stamp He    |

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